

Neuropsychological Profile of Autism and the Broad Autism Phenotype

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Context: Multiple articles describe a constellation of language, personality, and social-behavioral features present in relatives that mirror the symptom domains of autism, but are much milder in expression. Studies of this broad autism phenotype (BAP) may provide a potentially important complementary approach for detecting the genes causing autism and defining associated neural circuitry by identifying more refined phenotypes that can be measured quantitatively in both affected and unaffected individuals and that are tied to functioning in particular regions of the brain.

Objective: To gain insight into neuropsychological features that index genetic liability to autism.

Design: Case-control study.

Setting: The general community.

Participants: Thirty-eight high-functioning individuals with autism and parents of autistic individuals, both

with and without the BAP (n=83), as well as control individuals.

Main Outcome Measures: A comprehensive battery of neuropsychological tasks assessing social cognition, executive function, and global vs local processing strategies (central coherence).

Results: Both individuals with autism and parents with the BAP differed from controls on measures of social cognition, with performance in the other 2 domains being more similar to controls.

Conclusions: Data suggest that the social cognitive domain may be an important target for linking phenotype to cognitive process to brain structure in autism and may ultimately provide insight into the genes involved in autism.

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AUTISM IS A SEVERE LIFE-long developmental disorder that compromises functioning across multiple domains including social behavior, language, sensory function, and ritualistic/repetitive behaviors and interests. While the etiology of autism is complex and not fully understood, strong evidence from twin and family studies suggests a large genetic contribution, with heritability estimates as high as 90%.^{1,2} Twin and family studies have also shown that genetic liability appears to be expressed in unaffected relatives of people with autism through features that are milder but qualitatively similar to the defining characteristics of autism.

The first observation of such subclinical traits can be credited to child psychiatrist Leo Kanner, MD, whose original narrative descriptions of autism also noted among relatives a strong preoccupation with “abstractions of a scientific, literary, or artistic nature, and limited in genuine interest in people.”³ A decade later, Leon

Eisenberg, MD, further described relatives, and fathers in particular, as “perfectionistic to an extreme . . . pre-occupied with detailed minutiae to the exclusion of concern for over-all meanings.”⁴ These early observations were unfortunately misinterpreted as evidence faulting parents’ behaviors in the etiology of autism, and it would be another 20 years before this myth would be dispelled by the landmark twin study of Folstein and Rutter.⁵

This study not only detected markedly higher concordance rates of autism among monozygotic twins than dizygotic, but also found even higher monozygotic concordance for a more broadly defined phenotype including subclinical language and cognitive features. Family studies following up on these striking findings have repeatedly confirmed the presence of such subclinical phenotypes among relatives, now referred to as constituting a broad autism phenotype (BAP). The features of the BAP closely parallel the core symptom domains in autism (ie, impaired social functioning, language and

Table 1. Demographic Characteristics

Characteristic	Group			
	Autism	Control	Autism Parent	Parent Control
Sample, No.	36	41	83	32
Male/female ratio, No.	29/7	34/7	37/44	13/19
Mean (SD) age, y	21.5 (5.5)	23.4 (5.6)	46.6 (6.7)	46.7 (7.5)
Mean (SD) full-scale IQ	101.2 (18.1)	108.3 (15.0)	117.5 (11.2)	121.2 (10.8)
Mean education level, grade	11.2	11.7	13.8	13.4
Race, % ^a				
White	86	86	85	76
Other	3	14	2	3
Not reported	11	...	13	21

^aRace was self-reported and collected for purposes of reporting sample characteristics to the National Institutes of Health; ellipsis indicates information not reported.

communication deficits, and restricted/repetitive interests), yet are subtle in expression and not usually associated with any functional impairment.⁶ Importantly, whereas by definition autism involves impairment across all 3 symptom domains, evidence suggests that these features may decouple and segregate independently in relatives without autism,⁷⁻⁹ consistent with the observation that they appear to be uncorrelated in neurotypical populations.¹⁰ Studies of relatives may therefore help to disentangle the complex autistic phenotype and to identify component traits more amenable to neurocognitive and genetic dissection than the full clinical syndrome.

The present study is an attempt to inform the neuropsychological basis of autism and the BAP via detailed neuropsychological assessment of high-functioning individuals with autism and parents of autistic individuals (both with and without the BAP). We investigate performance within the 3 principal neuropsychological domains that have each been proposed as key cognitive abilities in which impairments may explain the autistic phenotype: social cognition, central coherence, and executive function. Autistic individuals' impairments in each of these domains have been previously described,^{10,11} and emerging literature has begun to document parallel performance patterns among unaffected relatives.¹²⁻¹⁶

We assessed performance using a battery of tasks selected to assess processing comprehensively within a given domain and chose our battery with an eye toward links to studies of subjects with circumscribed neurological lesions that could shed light on specific neural structures that are involved in autism, and potentially the BAP. For instance, several of our social cognition tasks had been shown to tap amygdala function, a structure that has also been hypothesized to play a role in autism.¹⁷⁻¹⁹ We focused on high-functioning adults with autism so an identical battery of tasks might be administered to both the parent and autistic groups, thus affording direct comparisons relative to respective control groups.

To summarize, the goals of this study were (1) to provide an in-depth characterization of the neuropsychological profile of autism and the BAP; (2) to identify patterns of performance within and across neuropsychological domains that were common to both autistic individuals and parents; and (3) to identify cosegregation between clinical phenotype and neuropsychological functioning that

might serve to carve out specific phenotypic subtypes and that could form the basis for stronger genotype-phenotype associations.

METHODS

PARTICIPANTS

Participants included 36 high-functioning individuals with autism, 41 autism controls (neurotypical individuals with no family history of autism), 83 parents of individuals with autism (autism parents), and 32 parent controls (ie, neurotypical parents with no family history of autism or developmental delays). Intact families were used to afford analysis of parent-child correlations. Twenty-two intact families were successfully recruited (ie, 44 parents and their high-functioning autistic children). The remaining families included either a single parent and their autistic child (n=15) or parents only (n=24) who participated without their child. Control groups (both parent and autism controls) were recruited through local advertisement and were screened to be similar in demographic characteristics to the autism and autism parent groups (**Table 1**).

To ensure that all participants were capable of completing the same battery of tasks, only autistic individuals aged 16 years or older with a performance intelligence quotient of 80 or higher on the Wechsler Abbreviated Scale of Intelligence²⁰ were included. The Autism Diagnostic Interview, Revised,²¹ and the Autism Diagnostic Observational Schedule, Revised,²² were administered to all participants. Clinical diagnoses were assigned according to current DSM-IV criteria.²³ All participants gave informed consent to participate in accordance with a protocol approved by the institutional review board of the University of North Carolina.

CLINICAL ASSESSMENT OF THE BAP

Characteristics of the BAP were assessed through clinically based interviews using the Modified Personality Assessment Schedule, Revised. This instrument has been used in many studies to define key features of the BAP.^{7,8,24} Interviewers guide participants through a number of questions to probe personality characteristics and dispositions relevant to autism and the BAP, namely, "rigid" or "perfectionistic" personality, and "socially aloof" or "untactful" personality. These traits are thought to parallel the ritualistic/repetitive and social symptom domains of autism, respectively. Such features have been shown to reliably distinguish autistic relatives from controls.⁷

Ratings were assigned by 2 independent raters (Morgan Parlier, MS; Robert Hurley, MS; Ellen Cohen, PhD; or Monica

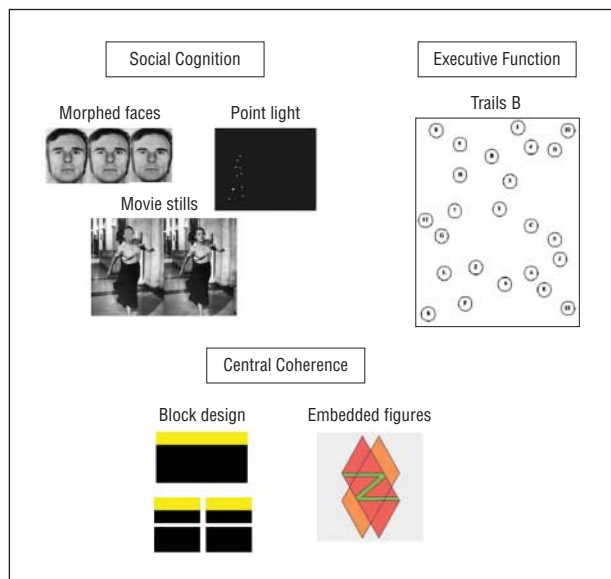


Figure. Examples of neurocognitive tasks.

Stubbs, BA) by combining information from the subject and informant (typically a spouse) interviews according to specified rules. Ratings are based on behavioral examples given by the subject and/or informants in response to a number of probes. Characteristics are rated either as present (2) absent (0), or unknown (1). BAP (+) status was assigned for ratings of present (2), and BAP (-) status was assigned for scores of 0 or 1 (absent, unknown). Readers are referred elsewhere^{7,8} for additional details on rating procedures. Interrater agreement exceeded 85% for all BAP personality traits. Disagreements were resolved by a third independent rater (either M.L. or J.P.). Control parents were included as a population control for contrasts with parents of autistic individuals and were not administered the Modified Personality Assessment Schedule.

NEUROPSYCHOLOGICAL MEASURES

Measures of social cognition, executive function, and central coherence were selected based on (1) their theoretical and empirical ties to the neuropsychological domains of interest; (2) psychometric properties including reliability and indication that each was suitable for individuals with autism, parents, and controls without danger of floor or ceiling effects; and (3) evidence from functional magnetic resonance imaging and/or lesion studies suggesting associations with particular structures or regions of the brain thought to be important for the 3 domains and putatively implicated in autism.^{25,26} Tasks are described below.

Social Cognition

These tasks have been described in detail elsewhere and so are reviewed briefly below.

1. The Reading the Mind in the Eyes Task¹² involves inferring psychological states from viewing slides depicting only the eye region of faces. Participants choose 1 of 4 responses provided and the proportion of correct answers serves as the index of performance. Impaired performance on this task has been described in individuals with autism and their relatives^{12,13,27,28} and has been tied to the amygdala, medial prefrontal cortex, and superior temporal gyrus.²⁹⁻³²

2. The Morphed Faces Task assesses the ability to identify emotions from faint facial expressions generated by mor-

phing, or linearly modifying, a neutral facial expression into progressively prototypical expressions of happiness, sadness, and fear. Thus low-morphed stimuli are those closest to neutral and therefore display the most subtle degree of emotional expression (**Figure**). Scoring is based on performance relative to norms.³³ Original stimuli are from the Ekman faces.³⁴ Lesion studies implicate amygdala function in performance on the morphed faces task.³³

3. Trustworthiness of Faces^{35,36} involves assessing the trustworthiness of faces differing in emotional expression, sex, and gaze direction, among other factors. Scoring was based on normative data.³⁷ Lesion and imaging studies indicate that this task taps amygdala function.³⁸

4. The Movie Stills Task³⁹ measures one's reliance on facial information to discern the emotional content of complex scenes. The accuracy of subjects' emotional judgments (based on norms) is compared when still movie scenes are presented with faces digitally erased vs when faces are intact. The basic emotions of fear, anger, and sadness are assessed. Adolphs and Tranel³⁹ demonstrated that individuals with bilateral amygdala damage fail to benefit from the presentation of facial information in this task, showing similar accuracy across the masked and unmasked faces trials.

5. The Point Light Task⁴⁰ assesses the ability to make emotional attributions from biological motion stimuli. Participants are shown visual displays of locomotion from the movements of light-emitting diodes attached to the main joints of moving people and asked to judge the emotion best conveyed by each display. Both basic emotions and complex judgments of trustworthiness are assessed. Accuracy was evaluated based on normative data.⁴¹ Lesion and functional magnetic resonance imaging studies indicate that processing of basic emotions from biological motion may tap the right somatosensory cortex and superior temporal sulcus,⁴² whereas judging complex emotions or personality traits such as trustworthiness appears to rely on the medial prefrontal cortex (these stimuli were kindly provided by Andrea Heberlein, PhD, Harvard University).^{40,43}

Executive Function

We assessed the executive skills of planning, set shifting, and cognitive control through 2 well-established tasks, the Tower of Hanoi and the Trail Making Task. These tasks are believed to use prefrontal cortical regions.^{44,45}

1. The Tower of Hanoi involves planning a sequence of moves that transfer an initial configuration of rings onto a particular peg, abiding by certain rules. Impairments on this task have been observed in autistic individuals and their relatives, although very high-functioning individuals have been reported to perform exceptionally well.⁴¹

2. The Trail Making Task is a measure of set shifting and cognitive control of interference in which sequences of numbers and letters must be alternately used to trace to an end point as quickly as possible. This measure is widely used in brain injury and/or lesion studies.⁴⁶ As in typical neuropsychological evaluations, we also included a more simplistic version (Trails A) that does not involve set shifting, but instead only the tracing of letters in a sequence without alternation involved. Analyses examine the difference between the set shifting (Trails B) and the basic (Trails A) tasks.

Weak Central Coherence

Weak Central Coherence is a theory concerning the detail-focused cognitive style prevalent among individuals with autism, assessed through tasks that index the salience of parts over wholes and relative insignificance of overall gestalt. Global in-

tegrative processing skills are predominantly mediated by the right hemispheric areas,^{37,47,48} whereas local featural processing is associated with left hemisphere functioning.⁴⁸

1. The Embedded Figures Test⁴⁹ involves identifying simple figures embedded within a complex design. Performance is assessed for accuracy and time. Individuals with autism and their relatives have been shown to complete this task in shorter time than controls, which is believed to index their local processing bias.¹⁴

2. The Sentence Completion Task¹⁶ pits demands for global sentence meaning against the tendency to give locally cued associative responses by requiring participants to complete a number of sentence stems (eg, "The sea tastes of salt and ____") and examining responses for local (eg, "pepper") quality, answers that are globally meaningless in the whole sentence context, or global semantically meaningful sentence completions (eg, "water"). Responses are scored as either global, local, or other nonglobal errors (ie, semantically inappropriate responses were sometimes given by participants). Prior work has documented a tendency for individuals with autism to more often respond with local sentence completions.¹⁶

3. The Block Design Task^{16,50} assesses reliance on the relative salience of parts over wholes and relative insignificance of a design's overall gestalt. The participant's task is to construct a series of designs using blocks based on a model that is either presented complete or presegmented, with the latter condition breaking the gestalt and rendering processing of figure-parts easier. Unlike controls, individuals with autism tend to perform comparably across the 2 conditions,⁵⁰ and similar response styles have been documented in fathers of autistic individuals.¹⁶

ANALYSIS PLAN

For each domain we examined differences between individuals with autism vs autism controls and differences between autism parents and parental controls. Within the autism parent group, specific comparisons were conducted to investigate differences between individuals with the BAP and those without. We hypothesized that specific BAP traits would cosegregate with performance in conceptually similar neuropsychological domains (eg, parents displaying social BAP features would demonstrate differences on social cognitive tasks, whereas those who exhibited the rigid/perfectionistic personality traits were hypothesized to show differences on tasks of executive skills, which involve cognitive flexibility). Within the autistic group, where both rigid/ritualistic behavior and social impairment are defining features, we expected that performance would be impaired across all domains: social cognition, executive function, and central coherence.

Analyses were performed using SAS 9.1 (SAS Inc, Cary, North Carolina). Descriptive statistics were performed to assess raw data distributions for normality. General linear models were used to examine group differences for each outcome.⁵¹ Most of the tasks are complexly designed with repeated within-subject measures that are defined by the emotion (happy, sad, angry, scared), intensity of emotion (neutral to extreme), and/or degree of difficulty (as in the Morphed Faces, high vs low morphedness). To account for such complexities, a repeated measures mixed model was fit for each outcome. Three alternative within-person covariance structures were considered in guiding model selection: unstructured, compound symmetric heterogeneous, and compound. Bayesian information criterion scores were used to evaluate these alternative covariance structures and guided selection of the most appropriate model. All possible interactions between groups and the within-subject variables were included in the models, as were interactions with age, sex, and intelligence quotient. To help control type I error rates, interactions were not interpreted unless

Table 2. Summary of Findings^a

Test	P Value	
	Autism	Parents
Social cognition		
Eyes Task	*	*
Morphed Faces	*	*
Trustworthiness of Faces	*	*
Movie Stills	*	*
Point Light Basic Emotions	*	-
Point Light Trustworthiness	*	*
Executive function		
Tower of Hanoi	-	-
Trailmaking Test	-	-
Central coherence		
Embedded Figures	-	-
Sentence Completion	*	-
Block Design	-	-

Abbreviation: BAP, broad autism phenotype.

^aAll parent findings for measures of social cognition were detected in the social BAP (+) group only (ie, no differences between BAP [-] and control groups were detected). No associations with BAP status were detected for any measures of executive function or central coherence, nor was performance related to parents' status in general (ie, autism vs control). Asterisks denote predicted difference detected at $P < .05$; dashes, no difference.

significant at $P < .05$. When interactions were not significant, only main effects are reported. In cases where sex interactions were detected, separate estimates and tests of group differences for men and women were calculated using postestimation procedures. To ensure specificity of findings related to BAP status, all BAP features (social and rigid/perfectionistic) were included in the models.

RESULTS

FREQUENCY OF BAP TRAITS AMONG AUTISM PARENTS

Twenty-two autism parents were identified as positive for social BAP features, 34 rated positive for the rigid/perfectionistic BAP traits, and 40 showed neither characteristic and are referred to as BAP (-). There were 5 mothers and 8 fathers who displayed both rigid and social BAP traits. Whereas fathers more often showed features of the social BAP (41% of fathers vs only 16% of mothers; $\chi^2 = 6.75$; $P = .009$), rigid/perfectionistic BAP traits were observed in roughly equal numbers of fathers and mothers (43% of fathers vs 41% of mothers; $\chi^2 = 0.14$; $P = .70$). As noted, findings related to BAP status reported below control for the cooccurrence of the BAP features.

PERFORMANCE ACROSS NEUROPSYCHOLOGICAL DOMAINS

Table 2 summarizes findings across domains, and specific patterns are described below by domain. For each task, results are first presented for autism vs control comparisons, followed by parent group comparisons (social or rigid/perfectionistic BAP [+] vs BAP [-] vs controls). As noted previously, parent comparisons examined the specific hypothesis that performance would vary by BAP status. Thus

Table 3. Mean Differences in Measures of Social Cognition^a

	Parent Groups ^b							
	Autism vs Control		BAP (+) vs BAP (-)		BAP (+) vs Control		BAP (-) vs Control	
	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d
Eyes Task	-6.71 (2.71)	.02	-8.99 (2.29)	<.0005	-8.82 (2.43)	.001	0.17 (1.84)	.92
Morphed Faces								
Happy	0.37 (0.26)	.16						
Low morphedness			-0.18 (0.29)	.53	-0.31 (0.31)	.31	-0.13 (0.24)	.58
High morphedness			0.08 (0.41)	.84	0.09 (0.43)	.83	0.01 (0.34)	.97
Sad	-0.22 (0.25)	.38						
Low morphedness			-0.62 (0.36)	.09	-0.71 (0.38)	.06	-0.09 (0.30)	.77
High morphedness			0.23 (0.43)	.59	0.17 (0.45)	.71	-0.06 (0.36)	.87
Afraid	-0.79 (0.30)	.008						
Low morphedness			-0.77 (0.36)	.03	-0.74 (0.37)	.05	0.02 (0.29)	.94
High morphedness			0.34 (0.45)	.45	-0.22 (0.47)	.64	-0.56 (0.37)	.13
Trustworthiness of Faces								
Positive faces	0.56 (0.16)	<.001	-0.19 (0.14)	.19	-0.30 (0.15)	.04	-0.12 (0.11)	.30
Negative faces	-0.19 (0.16)	.24	-0.65 (0.14)	<.001	-0.67 (0.15)	<.001	-0.02 (0.11)	.82
Movie Stills								
Without faces								
Sad	-0.04 (0.03)	.21	0.01 (0.03)	.79	0.02 (0.03)	.48	0.01 (0.02)	.57
Angry	-0.11 (0.05)	.05						
Afraid	0.13 (0.04)	.001						
With faces			-0.08 (0.03)	.01	-0.07 (0.03)	.03	0.01 (0.02)	.77
Sad	-0.06 (0.03)	.05						
Angry	-0.09 (0.06)	.13						
Afraid	-0.13 (0.05)	.01						
Afraid	0.03 (0.04)	.40						
Point Light Basic Emotions								
Positive emotions	-0.10 (0.03)	.003	-0.03 (0.02)	.11	-0.01 (0.02)	.63	0.02 (0.02)	.17
Negative emotions	-0.04 (0.03)	.17						
Point Light Trustworthiness								
Positive stimuli	-0.43 (0.14)	.004	-0.37 (0.14)	.008	-0.28 (0.14)	.05	0.09 (0.11)	.44
Negative stimuli	0.12 (0.16)	.48	0.35 (0.15)	.02	0.26 (0.16)	.10	-0.09 (0.12)	.48

^aScores for the Eyes Test represent difference in percentage correct. Scores for all other measures represent z-scored differences from norms.

^bBAP (+) defined by social traits measured on the Modified Personality Assessment Schedule, Revised.

^cMean difference scores are adjusted for covariates of age, sex, and IQ. Means are presented for primary components of each task unless significant interactions were detected.

^dSignificant differences appear in boldface.

findings are reported for BAP (+) vs BAP (-) vs controls. Adjusted mean differences, standard errors, and P values are presented in **Table 3** (social cognition) and **Table 4** (executive functioning and central coherence). For measures of social cognition, all tasks except the Eyes Test were analyzed as z-scored differences from normal values, resulting in mean differences in standard deviations (ie, a mean difference of 1.0 reflects a full standard deviation difference between groups).

Though not described, we note that parent-child correlations were examined but did not reveal significant associations across domains or specific tasks.

SOCIAL COGNITION

On the Reading the Mind in the Eyes Test, the autistic group performed significantly less accurately than controls (Table 3). Significant differences were also detected in parents, but these differences were only present in those with the social BAP, hereafter referred to as BAP (+). The BAP (+) group was less accurate than controls and BAP (-) parents. There were no significant differences between controls and BAP (-) parents or parents showing the

rigid feature of the BAP. A significant effect of sex was detected among parents, revealing that differences were more profound among BAP (+) mothers than fathers.

On the Morphed Faces test, the autistic group was less accurate at identifying fearful expressions across all variations of expression intensity, both high- and low-morphedness (ie, faces closer to prototypical facial expression and therefore presumably easier to decipher as well as those closest to neutral or faintly expressed). No differences were observed for happy or sad faces. Analysis revealed significantly lower accuracy identifying fearful faces among the social BAP (+) group, but only when expressions were most faintly expressed. That is, at low levels of morphedness parents in the social BAP (+) group were significantly less accurate in identifying fearful expressions than the other parent groups (controls, BAP [-], rigid BAP). As observed in the autistic group, no significant differences were observed for happy or sad stimuli.

On the Trustworthiness of Faces test, the autistic group differed from controls in judging the trustworthiness of faces. Individuals with autism differed from normal responses significantly more than controls only on the nega-

Table 4. Mean Differences for Executive Function and Central Coherence Tasks^a

Variable	Parent Groups ^b							
	Autism vs Control		BAP (+) vs BAP (-)		BAP (+) vs Control		BAP (-) vs Control	
	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d	Mean (SE) Difference ^c	P Value ^d
Executive function								
Tower of Hanoi								
Moves, No.	4.21 (3.56)	.24	-0.55 (2.18)	.80	1.98 (2.37)	.41	2.53 (1.81)	.17
Time to complete, s	27.76 (15.95)	.09	2.31 (17.12)	.89	18.95 (18.36)	.30	16.64 (13.95)	.24
Trail Making task								
Time to complete, s	2.10 (4.50)	.64	3.21 (3.87)	.41	-1.63 (4.14)	.70	-4.84 (3.06)	.12
Central Coherence								
Embedded Figures								
Accuracy, No. correct	0.12 (0.22)	.60	-0.11 (0.25)	.66	-0.04 (0.25)	.88	0.07 (0.18)	.70
Time, s	-0.19 (1.45)	.90	-1.08 (1.90)	.57	1.29 (1.84)	.48	2.37 (1.44)	.10
Sentence Completion ^e								
Nonglobal responses, No.	1.30 (0.43)	.003	0.32 (0.40)	.42	0.33 (0.42)	.44	0.00 (0.33)	.99
Response time, s	1.87 (0.92)	.04	-0.35 (0.39)	.36	-1.45 (0.56)	.01	-1.10 (0.53)	.04
Block Design								
Whole-time	2.04 (3.14)	.59	2.79 (4.06)	.49	1.78 (4.36)	.68	-1.01 (2.75)	.72
Segmented-time	2.79 (1.13)	.04	-0.26 (0.89)	.77	-0.12 (0.97)	.90	0.14 (0.70)	.84

^aMost of the tasks are complexly designed with repeated within-subject measures that are defined by the emotion (happy, sad, angry, scared), intensity of emotion (neutral-extreme), and/or degree of difficulty (as in the morphed faces, high vs low morphedness). To account for such complexities, a repeated measures mixed model was fit for each outcome. Three alternative within-person covariance structures were considered in guiding model selection: unstructured, compound symmetric heterogeneous, and compound. Bayesian information criterion scores were used to evaluate these alternative covariance structures and guided selection of the most appropriate model.

^bBAP (+) defined as rigid/perfectionistic as measured on the Modified Personality Assessment Schedule, Revised.

^cMean difference scores adjusted for covariates of age, sex, and IQ.

^dSignificant P values appear in boldface.

^eSentence completion coefficients are from a logistic regression model.

tively valenced slides (ie, unfriendly stimuli). Relative to controls, individuals with autism significantly overrated the trustworthiness of negatively valenced faces (ie, they rated unfriendly faces as overly friendly). The social BAP (+) group differed from normal responses significantly more than controls and BAP (-) parents on the positively valenced stimuli where they rated friendly faces as significantly less trustworthy than the other parent groups. In other words, relative to the other groups' ratings, they rated these faces as somewhat threatening. No significant differences were detected between the other parent groups.

On the Movie Stills test, the autistic group differed significantly from controls across all emotions, though patterns differed for each condition, faces omitted vs faces present. When stimuli were shown without faces, the autistic group differed from controls on all emotions; they were less accurate at identifying sadness and anger and better than controls at identifying fearful scenes. When faces were present, individuals with autism were less accurate at identifying anger, and no longer showed any advantage identifying fear, suggesting that they benefited less from viewing facial information than did controls. Findings among parents were consistent with this pattern; across all emotions, BAP (+) parents were significantly less accurate than controls and BAP (-) groups only when faces were present. Thus, whereas controls and parents without the social BAP became more accurate in judging emotions when facial expressions were revealed, parents with the social BAP did not show this increase in accuracy, suggesting they were less apt to take advantage of facial information when judging the emo-

tional content of complex scenes. The BAP (-) and control groups performed similarly to each other.

On the Point Light Displays test, in the basic emotion condition, individuals with autism were significantly less accurate at identifying positive emotions from point light displays. Differences were also observed in the more complex emotion condition involving judgments of trustworthiness. The autistic group showed less sensitivity to positively valenced stimuli, failing to modulate their ratings as stimuli became increasingly positive. Parent groups showed no differences in their ratings of the basic emotions, but the social BAP (+) group exhibited differences in judgments of trustworthiness for both positively and negatively valenced slides. Whereas controls and parents without the social BAP judged positively valenced slides as trustworthy and negative displays less so, the social BAP (+) group appeared relatively insensitive to variations in positive and negative valence, rating these stimuli as neutral.

EXECUTIVE FUNCTION

On the Tower of Hanoi test, there were no significant differences in time or number of moves required to complete the correct tower configuration. No significant differences were observed among parent groups. Parents with the rigid/perfectionistic traits of the BAP performed similarly to BAP (-) and control parents. Parents who displayed the social BAP also performed comparably to BAP (-) and control groups.

On the Trail Making test, there was no significant difference between the autistic and control groups in time

to complete the trailmaking task. Parents of autistic individuals performed comparably with controls and there were no significant differences associated with BAP status (rigid/perfectionistic or social BAP).

CENTRAL COHERENCE

On the Embedded Figures test, there were no significant differences between the autistic and control groups on either the mean time to completion or the likelihood of arriving at a correct answer. The autism parent group performed comparably with controls on time and accuracy and there were no associations with any BAP features.

On the Sentence Completion Task, significant differences were detected in the frequency of errors and time to completion—the autistic group produced more nonglobal responses (ie, local and other nonglobal completions) and took longer to respond than controls. Parent group comparisons revealed no differences in response type, but autism parents (both rigid/perfectionistic BAP [+] and BAP [-]) responded significantly faster than controls.

On the Block Design test, the autistic group took significantly longer than controls to complete designs when blocks were segmented. No significant difference was observed when blocks were presented as a whole. There were no significant differences between parent groups and no associations with any BAP features.

COMMENT

SUMMARY OF FINDINGS

Results suggest that measures of social cognition most robustly differentiate performance of individuals with autism and parents with the social BAP from controls and BAP (-) parents. As illustrated in Table 2, on 5 of the 6 social cognitive measures, differences were observed in both individuals with autism and parents with the social BAP, and the autistic group demonstrated differences from controls on all 6 tasks. By contrast, measures of executive function and central coherence did not differentiate groups as clearly. These findings both validate the concept of the social BAP and highlight the potential utility of neuropsychological measures of social cognition in studies of the brain and the genetic basis of autism. Importantly, parents with the social BAP are not impaired clinically, yet show neurocognitive patterns similar to those observed in autism.

Our data suggest that specific social cognitive profiles shared by individuals with autism and a subgroup of parents (ie, those with the social BAP) may be wide ranging, involving a number of different social cognitive skills such as making complex social judgments of trustworthiness from facial information (trustworthiness of faces), interpreting the emotional content of complex scenes with and without affective facial information (movie stills), inferring emotions from very subtle variations in facial expression (morphed faces), and interpreting complex emotional content from biological motion (point light).

Importantly, patterns of performance were, in some instances, qualitatively different in the autistic and parent

groups. In the trustworthiness of faces task, for instance, individuals with autism judged negative faces as significantly more positive than controls, whereas BAP (+) parents judged positively valenced faces as more negative than controls and BAP (-) parents. Such a divergence in performance demonstrates how neurocognitive characteristics may manifest variably in autism and the BAP.

IMPLICATIONS FOR UNDERSTANDING THE BRAIN AND GENE BASIS OF AUTISM AND THE BAP

As with other studies of the BAP, these results may help to narrow the empirical target from an otherwise highly complex and heterogeneous phenotype (ie, a diagnosis of autism), to more specific component features that are likely to be more amenable to genetic and neurobiological investigation. Because our measures constitute quantitative indices of neuropsychological functioning in both affected and unaffected individuals, studies implementing these measures may profit from larger sample sizes and resulting increases in power.

As these tasks derived from lesion and functional magnetic resonance imaging studies and have therefore been linked with specific brain regions, we may also speculate on the neuroanatomical structures that could underlie observed patterns of performance and that may be mediated by autism susceptibility genes. Lesion and imaging studies strongly implicate amygdala function in performance on each of the social cognitive tasks with which autistic individuals and parents with the BAP demonstrated differences.^{29,30,33,36,39} And while a number of neural mechanisms have been implicated in the pathophysiology of autism,⁵² the amygdala has figured prominently in hypotheses concerning the basis of the social impairments of the disorder.^{17,19} Our findings support this link and further suggest that the amygdala may play a role in the subtle social-behavioral manifestation of genetic liability to autism among unaffected relatives. Results also support involvement of the medial prefrontal cortex (linked to performance on the Eyes and Pointlight tasks^{40,43}) and the superior temporal gyrus, which has also been linked to performance on the Eyes Test.²⁹⁻³²

QUESTIONS AND LIMITATIONS

It will be important for future work to replicate these findings with larger samples. Indeed, the possibility of false positives cannot be ruled out; however, we note that all differences on social cognitive measures were consistent with our hypothesis. Nonetheless, studies with larger samples will be important for clarifying some intriguing and unexpected results such as the stronger differences detected among BAP (+) mothers vs controls than fathers on the Eyes Test. It will also be important to investigate the specificity of findings to autism, as there is suggestion of phenotypic overlap between autism and other neurogenetic disorders (eg, schizophrenia).⁵³ Such work should inform both genetic and neurobiological studies of these disorders.

The lack of group differences within the domains of executive function and central coherence remains puzzling.

zling, particularly given the large body of research demonstrating executive control deficits and a local processing bias/limited drive for central coherence associated with autism.^{54,55} However, some studies have also failed to detect differences in these domains,^{56,57} raising the possibility that effects within these domains are more subtle and/or heterogeneous. Also, the net cast by our task battery was not as broad as some other studies' and this may have hindered our ability to capture group differences.

A related issue concerns the neurocognitive correlates of the rigid/perfectionistic features of the BAP. It is possible that this feature may not constitute as valid a construct as the social BAP, or alternatively may relate to additional cognitive mechanisms not considered here (eg, sensorimotor processing, which has been associated with repetitive behaviors in autism⁵⁸).

A final question is why we did not detect parent-child associations in social cognition or the other domains. This could be owing to insufficient variation within the autistic group, where most individuals were unequivocally impaired on social cognition measures. And while we targeted intact families, we were only able to recruit 22 such families, raising the possibility that we lacked power to detect such associations. Future studies may therefore benefit by including more heterogeneous autistic groups and larger samples of intact families.

In summary, our findings raise some important questions for future studies and add to current understanding of the neuropsychological basis of autism and the BAP. Results support further study of the component features of the BAP and the neuropsychological characteristics that underlie the components of this construct, which may add important new information about the psychological, neural, and genetic mechanisms underlying autism. From this study, social cognition has emerged as a promising candidate for future studies incorporating the BAP approach and more direct measures of neurocognitive functions such as structural and functional imaging.

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