 IMPORTANCE Medicaid is the largest payer of mental health (MH) care in the United States, and this role will increase among states that opt into the Medicaid expansion. However, owing to the dearth of MH care providers who accept Medicaid, expanded coverage may not increase access to services. Facilities that provide specialty outpatient MH services and accept Medicaid compose the backbone of the community-based treatment infrastructure for Medicaid enrollees. For states that opt into the expansion, it is important to understand which local communities may face the greatest barriers to access these facilities.

 OBJECTIVE To examine the availability of outpatient MH facilities that accept Medicaid across US counties and whether specific types of communities are more likely to lack this infrastructure.

 DESIGN, SETTING, AND PARTICIPANTS Data from the 2008 National Survey of Mental Health Treatment Facilities and Area Resource File were merged. A generalized ordered logistic regression with state fixed effects was estimated to examine determinants of accessibility of these facilities. Covariates included the percentages of residents who are black, Hispanic, living in poverty, and living in a rural area.

 MAIN OUTCOMES AND MEASURES An ordered variable assessed whether a county had no access to outpatient MH facilities that accept Medicaid, intermediate access to these facilities (ie, 1 facility, but not top quintile of facility to Medicaid enrollee per capita ratio), or high access (ie, top quintile of facility to Medicaid enrollee per capita ratio).

 RESULTS More than one-third of counties do not have any outpatient MH facilities that accept Medicaid. Communities with a larger percentage of residents who are black (marginal effect [ME] = 3.9%; 95% CI, 1.2%-6.6%), Hispanic (ME = 4.8%; 95% CI, 2.3%-7.4%), or living in a rural area (ME = 27.9%; 95% CI, 25.3%-30.4%) are more likely to lack these facilities.

 CONCLUSIONS AND RELEVANCE Many communities may face constraints on the MH safety-net system as Medicaid is expanded, especially rural communities and communities with a large percentage of black or Hispanic residents.
Medicaid is the single largest payer of mental health (MH) care in the United States and disproportionately serves the sickest and most disabled group of persons with mental disorders. Compared with general population samples, a higher proportion of Medicaid enrollees have severe mental disorders such as schizophrenia, major depression, and bipolar disorder. Under the Patient Protection and Affordable Care Act (PPACA), states that opt into the Medicaid expansion will allow individuals with income below 133% of the federal poverty level to enroll in the Medicaid program. If the expansion were implemented nationwide, up to 16 million enrollees would be added to Medicaid by 2019 and the proportion of persons with mental disorders who are covered by Medicaid would double from 12.8% to 24.5%.

Originally, the PPACA compelled states to participate in the expansion by stipulating that all federal funding for the Medicaid program would be withheld from those that did not expand their program. However, the landmark decision by the Supreme Court (ie, National Federation of Independent Business et al vs Sebelius et al) in June 2012 determined that this provision of the PPACA was unconstitutional, thereby granting states more discretion to participate in the expansion. Although there is uncertainty as to how many states will opt in, there is reason to believe that many states will participate given that the expansion is fully funded by federal dollars in the first 3 years and 90% funded by federal dollars in 2020 and beyond.

The potential of the expansion to improve access to and quality of MH care in participating states requires an adequate supply of MH care providers who accept Medicaid across local communities. Yet, 4 in 5 US counties were designated by the Health Resources and Services Administration as partial or whole Mental Health Professional Shortage Areas in 2008, and this shortage is further exacerbated for Medicaid enrollees by low rates of MH care provider participation in Medicaid. Although the majority of psychiatrists practice in solo or group office settings (51%), only 3% and 8% of patient caseloads in these settings are covered by Medicaid, respectively. Rather, MH care providers who participate in Medicaid tend to be concentrated in hospital and specialty community-based MH clinic settings. Consequently, outpatient clinics that provide specialty MH services and accept Medicaid compose the backbone of the community-based MH system for Medicaid enrollees.

For states that opt into the expansion, it is especially important to know which communities may face the greatest constraints in access to facilities that accept Medicaid enrollees. Prior studies on the supply and distribution of other types of health care resources suggest that community characteristics—especially racial/ethnic composition and rural residence—may affect accessibility to care. More specifically, communities with large percentages of black or Hispanic residents are more likely to experience physician shortages and closures of hospitals, emergency departments, and trauma centers, controlling for socioeconomic factors. Furthermore, rural communities have chronically suffered from shortages of all types of health care providers.

To examine the geographic distribution of MH facilities that provide outpatient care for Medicaid enrollees, we merge national data from 2 sources to examine which communities are most likely to have these crucial resources. Specifically, we explore associations between community sociodemographic characteristics and the availability of outpatient MH treatment facilities that accept Medicaid, controlling for state-level confounders. We hypothesize that communities with large percentages of black or Hispanic residents and rural communities are less likely to have outpatient MH treatment facilities that serve Medicaid clients.

### Methods

#### Data

Data come from the 2008 National Survey of Mental Health Treatment Facilities and the Area Resource File. The National Survey of Mental Health Treatment Facilities is a survey conducted by the Substance Abuse and Mental Health Services Administration in all 50 states, Washington, DC, and US territories to provide key information about MH treatment facilities that meet the following criteria: (1) formal establishment by law, regulation, charter, license, or agreement; (2) an established organizational structure, including a staffing structure; (3) a primary goal for all or part of the facility of improving the MH of its clientele; (4) a clientele with psychiatric, psychological, or associated social adjustment impairments; and (5) provision of MH services.

Facilities that are surveyed include psychiatric hospitals, nonfederal general hospitals with separate psychiatric inpatient units, Veterans Affairs medical centers with separate psychiatric services, residential treatment centers, free-standing outpatient clinics or partial care facilities, and multiservice MH facilities. Individual and small-group MH care practices, correctional facilities, military facilities that are not operated by Veterans Affairs, and Indian Health Service facilities are excluded from the survey. To build the sampling framework for the 2008 survey, the Substance Abuse and Mental Health Services Administration used 14 sources of information including lists from state MH agencies, the National Council for Community Behavioral Healthcare, the National Association of Psychiatric Health Systems, and the National Association of Children’s Behavioral Health. Of 13,068 facilities that were surveyed, a response rate of 74.2% was obtained.

Facility-level data were aggregated to the county level using the facility zip code. County-level data from the 2008 National Survey of Mental Health Treatment Facilities were merged with data from the Area Resource File, a countiespecific health resources data file that also contains county-level sociodemographic measures.

#### Measures

**Dependent Variable**

The National Survey of Mental Health Treatment Facilities includes facility-level measures of the type of health insurance
that the facility accepts and the settings in which services are provided (eg, inpatient, residential treatment, and outpatient). Using this information, we calculated the number of outpatient MH facilities that accepted Medicaid in the county and divided it by the number of Medicaid enrollees in the county in 2007 (mean = 2.4 facilities/10,000 Medicaid enrollees). Based on this ratio, we divided counties into the following 3 categories: (1) no access indicated counties with no outpatient MH facilities that accept Medicaid; (2) intermediate access, counties with at least 1 MH facility that accepts Medicaid, but not classified in the top quintile of this ratio (ie, <3.1 facilities/10,000 Medicaid enrollees); and (3) high access, counties with at least 1 MH facility that accepts Medicaid and classified in the top quintile of this ratio.

Independent Variables

County-level covariates were assessed with the most recent year of data available preceding the year in which the dependent variable was measured (ie, 2008). County racial/ethnic composition was assessed with the percentage of county residents who are black (2007) and Hispanic (2007). Rurality was measured by the percentage of county residents living in a rural area as defined by the US Census Bureau (2000). Analyses also adjusted for the percentage of residents living in poverty in 2007 (ie, <100% of the federal poverty level).

Data Analysis

We derived an analytic sample of 3141 US counties with complete information on analytic variables from all 50 US states. One county in Virginia, Clifton Forge City, was excluded owing to missing demographic information in the Area Resource File. Within this analytic sample of 3141 US counties, 9595 facilities responded to the survey and 7143 provided outpatient MH services. Of the facilities that provided outpatient services, 6149 (86.1%) accepted Medicaid.

Bivariate analysis was conducted using 1-way analysis of variance and χ² tests. Generalized ordered logistic regression was performed to estimate the association between each explanatory variable and the likelihood that a county is classified as having no access to outpatient MH facilities that accept Medicaid or high access to these facilities. This model was selected instead of an ordered logistic regression because the proportional odds assumption failed.18

To adjust for state-level heterogeneity in demographic characteristics and policies that may influence the availability of MH care resources across local communities,19,20 regressions were estimated with state fixed effects using Stata version 11 statistical software (StataCorp LP).21 Marginal effects (MEs) were calculated for each explanatory variable at the mean value of other predictor variables in the model. Independent variables were standardized for ease of interpretation such that the MEs correspond to a 1-SD increase in the measure above the mean value.

To control for differences in missing information at the facility level across counties, regressions also included an indicator variable for counties that have any facility with missing survey information, precluding it from inclusion in the creation of the dependent variables (ie, the facility did not respond to the survey or had missing information on measures used to create the dependent variable). Note that 26.5% of facilities have missing information due to survey nonresponse or missing survey information, and 35.1% of counties have at least 1 facility with missing information. Counties that have facilities with missing information have a higher proportion of black residents but a lower proportion of residents living in poverty or rural communities (P < .001).

Results

A total of 34.8% of US counties have no outpatient MH treatment facilities that accept Medicaid (Table 1); this figure is nearly identical when the data are limited to counties with complete facility-level information (n = 2037, not shown). Results from the 1-way analysis of variance indicate that counties with no outpatient MH facilities that accept Medicaid have a higher percentage of residents who live in rural communities and who live in poverty compared with counties with intermediate and high access to these facilities (P < .001). Additionally, counties classified as having high access to Medicaid MH facilities have a lower percentage of black (P < .001) and Hispanic (P = .03) residents than counties with no access or intermediate access to these facilities.

Consistent with our hypothesis, communities with a larger percentage of black or Hispanic residents have less access to outpatient MH facilities that accept Medicaid (Table 2). Coun-

Table 1. County Sociodemographic Characteristics by Access to Mental Health Outpatient Treatment Facilities That Accept Medicaid*

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Total (N = 3141)</th>
<th>No Access (n = 1094)</th>
<th>Intermediate Access (n = 1410)</th>
<th>High Access (n = 637)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black</td>
<td>9.1 (14.4)</td>
<td>9.1 (15.3)</td>
<td>10.9 (14.8)</td>
<td>5.1 (10.8)</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>Hispanic</td>
<td>7.5 (12.7)</td>
<td>7.6 (13.4)</td>
<td>7.9 (12.5)</td>
<td>6.3 (11.5)</td>
<td>.03</td>
</tr>
<tr>
<td>Living in poverty</td>
<td>15.1 (6.2)</td>
<td>15.7 (6.5)</td>
<td>15.1 (6.1)</td>
<td>14.0 (6.1)</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>Living in rural community</td>
<td>59.9 (31.0)</td>
<td>76.0 (27.1)</td>
<td>45.5 (27.8)</td>
<td>64.8 (28.9)</td>
<td>&lt; .001</td>
</tr>
</tbody>
</table>

* One-way analyses of variance were performed; P < .05 suggests that at least 1 of the 3 categories is significantly different from the others. Access categories were defined by dividing the number of mental health outpatient treatment facilities that accept Medicaid by the number of Medicaid enrollees in the county and classifying each county as offering the following: (1) no access, indicating no outpatient mental health facility that accepts Medicaid; (2) intermediate access, at least 1 facility, but not classified in the top quintile of this ratio (31 facilities/10,000 Medicaid enrollees); or (3) high access, at least 1 facility and classified in the top quintile of this ratio.
Table 2. Regression Results Examining the Relationship Between County Sociodemographic Characteristics and Access to Mental Health Outpatient Treatment Facilities That Accept Medicaid in 3141 Counties

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Marginal Effect (95% CI), %</th>
<th>No Accessb</th>
<th>High Accessc</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black</td>
<td>3.9 (1.2 to 6.6)</td>
<td>3.9 (1.2 to 6.6)</td>
<td>3.9 (1.2 to 6.6)</td>
</tr>
<tr>
<td>Hispanic</td>
<td>4.8 (2.3 to 7.4)</td>
<td>4.8 (2.3 to 7.4)</td>
<td>4.8 (2.3 to 7.4)</td>
</tr>
<tr>
<td>Living in poverty</td>
<td>−2.1 (−4.3 to 0.1)</td>
<td>1.5 (−0.1 to 3.0)</td>
<td>1.5 (−0.1 to 3.0)</td>
</tr>
<tr>
<td>Living in rural community</td>
<td>27.9 (25.3 to 30.4)</td>
<td>3.4 (1.6 to 5.3)</td>
<td>3.4 (1.6 to 5.3)</td>
</tr>
</tbody>
</table>

* Generalized ordered logistic regression model estimated with state fixed effects. Marginal effect indicates the predicted change in the percentage of counties classified as having no access or high access to mental health outpatient treatment facilities that accept Medicaid associated with a 1-SD increase in the explanatory variable, holding the covariates at their mean value.

b Predicted probability (at the mean value of all covariates) = 29.1%.

c Predicted probability (at the mean value of all covariates) = 17.4%.

P < .01.

P < .001.

ties with a higher percentage of black residents (marginal effect [ME] = 3.9%; 95% CI, 1.2% to 6.6%) and Hispanic residents (ME = 4.8%; 95% CI, 2.3% to 7.4%) are more likely to lack these facilities than counties with a lower percentage of black residents and Hispanic residents, respectively. Similarly, counties with a higher percentage of black residents (ME = −2.7%; 95% CI, −4.6% to −0.8%) and Hispanic residents (ME = −3.4%; 95% CI, −5.1% to −1.6%) are less likely to have high access to these facilities than counties with a lower percentage of black residents and Hispanic residents, respectively.

Results are slightly mixed when examining whether rural counties have less access to outpatient MH facilities that accept Medicaid (Table 2). Consistent with our hypothesis, counties with a higher percentage of rural residents are more likely to lack these facilities than counties with a lower percentage of rural residents (ME = 27.9%; 95% CI, 25.3% to 30.4%). However, counties with a higher percentage of rural residents are also more likely to be classified as having high access to these facilities than counties with a lower percentage of rural residents (ME = 3.4%; 95% CI, 1.6% to 5.3%).

Using the model-based predicted values, results depicting which counties are most likely to lack outpatient MH facilities that accept Medicaid are presented in the Figure. When all covariates are held constant at their mean value, the model-based predicted probability indicates that 29.1% of counties do not have any access to these facilities. Among counties with a high percentage of black or Hispanic residents (ie, a 1-SD increase above the mean value of each respective measure), the predicted percentage of counties without access to these facilities increases to 33.0% and 33.9%, respectively. The effect size for percentage of residents living in rural areas is especially pronounced; among counties with a high percentage of residents living in rural areas (ie, a 1-SD increase above the mean value), 57.0% of counties lack access to these facilities.

We conducted additional sensitivity analyses with alternative thresholds to classify counties offering “high access” using the top decile and quartile of facility to Medicaid enrollee per capita ratio. The results were consistent across these thresholds for percentage of black residents and percentage living in rural areas. The negative relationship between percentage of Hispanic residents and high access to these facilities, however, was not significant when using these other thresholds and should therefore be interpreted with caution. We also conducted sensitivity analyses in which we limited the analytic sample to counties in urban and suburban areas (ie, n = 1787) using the Office of Management and Budget definition of core-based statistical areas.22 Regression results using this analytic sample were similar to those presented for the full model with 1 exception: urban or suburban counties with a higher percentage of residents living in poverty were significantly less likely to lack these facilities than urban or suburban counties with a lower percentage of residents living in poverty (P = .004).

Discussion

Our results highlight extensive gaps in the community-based MH treatment infrastructure for Medicaid enrollees across many US counties. More than one-third of counties do not have any outpatient MH facilities that accept Medicaid. Furthermore, counties with a higher percentage of residents who are black, Hispanic, or living in rural communities are significantly more likely to lack geographic access to these facilities.

Among states that opt into the Medicaid expansion, our results suggest that communities with a high percentage of racial/ethnic minorities may face particular constraints on their Medicaid MH safety-net system. Not only does Medicaid currently play an especially important role in providing insurance coverage for black and Hispanic individuals, but this role...
will continue to grow as the PPACA is implemented. In 2009, 27% of black and Hispanic individuals were covered by Medicaid, compared with only 11% of white individuals. Furthermore, when considering racial differences in the population of nonelderly persons living in poverty who would be eligible for the Medicaid expansion (ie, <133% of the federal poverty level), 41% of black and Hispanic individuals are living in poverty relative to only 17% of white individuals. Therefore, black and Hispanic individuals may see the largest increase in Medicaid enrollment under the PPACA. If communities with a high concentration of black and/or Hispanic residents experience higher than average Medicaid enrollment but are more likely to lack these facilities, policies will need to be implemented to ensure that the Medicaid expansion does not exacerbate disparities in the accessibility of services for these communities.

Because models adjusted for county poverty, the findings associated with county racial/ethnic composition appear to be independent of differences in county socioeconomic status. To ensure that these findings were not an artifact of multicollinearity, we also assessed unadjusted correlations, alternative model specifications, and variance inflation factors. These additional analyses indicated that multicollinearity was not a concern for this analysis.

When examining which counties have no access to these facilities, the effect size was by far the largest for communities with a high percentage of residents living in a rural community. A 1-SD increase in the percentage of rural residents nearly doubled the likelihood that a county did not have an outpatient MH facility that accepts Medicaid. Although there was also a positive association between rurality and high access to the Medicaid MH safety net, the effect size for this relationship is substantially smaller than the effect size for the relationship between rurality and having no access to these facilities. Furthermore, because high-access counties were classified by dividing the number of facilities by the number of Medicaid enrollees in the county, rural counties with a single facility would have been more likely to be classified as offering high access to these facilities owing to a lower population density. Considered together, our findings suggest that a handful of rural counties may lack the necessary facilities to care for this growing number of Medicaid enrollees.

Medicaid enrollees living in rural communities are disproportionately affected by these infrastructure gaps. More than one-third (34.6%) of Medicaid enrollees who reside in rural areas live in a county that lacks one of these facilities compared with 6.1% of Medicaid enrollees who live in urban or suburban areas.

Local and state policymakers should work to close gaps in the Medicaid MH treatment infrastructure in each community. A more practical strategy to improve access to community-based MH services for Medicaid enrollees in vulnerable communities would be to allocate more funds for primary care safety-net facilities to improve their capacity to provide specialty MH services. Additional descriptive analyses (not shown) indicate that approximately three-fourths of counties classified as having no access to outpatient Medicaid MH treatment facilities have at least 1 federally funded community health center (CHC) (ie, a federally qualified health center or a rural health clinic). Community health centers have been increasingly providing on-site MH services, and approximately three-fourths of CHC grantees offered some type of MH services as of 2007. Despite this trend toward greater provision of MH services in CHCs, there is cause for concern that they may not currently have the resources or capacity to fully address infrastructure gaps in the Medicaid MH safety-net system. Only a small percentage of CHC patients receive any MH services (ie, 4% of CHC patients received MH services in 2010), and CHCs typically care for individuals with less serious mental disorders than MH specialty clinics.

The PPACA allocates $11 billion in new funding over 5 years to bolster and expand the capacity of existing CHCs to provide oral health, pharmacy, and behavioral health ser-
vices to CHC clients and to construct new CHCs in medically underserved areas. This funding provides an important opportunity to expand the capacity of these facilities to treat those with MH disorders, which would be especially important in local communities that face gaps in the specialty MH safety-net system. Thus, local, state, and federal policy makers should work to ensure that this provision of the PPACA is used to increase both the overall volume of MH treatment and CHCs’ capacity to treat more serious and complex MH conditions.

Several study limitations are noted. First, causality cannot be established in these relationships because the data are cross-sectional. The survey response rate, 74.2%, poses another limitation. However, the regressions presented earlier control for whether a county had a facility with incomplete survey information owing to nonresponse or missing information on key analytic variables. Third, we were not able to incorporate facility capacity in our regression models because a high percentage of facilities are missing data on the related survey measure (i.e., 35.9% of facilities in our analytic sample). Lastly, it would have been preferable if the data measuring Medicaid enrollees per county were available for the same year in which the dependent variable was assessed (2008). However, the most recent year of county-level Medicaid enrollment data available in the Area Resource File is 2007. We were able, though, to assess the correlation between county-level Medicaid enrollment data across years between 2006 and 2007; as expected, the correlation is very high ($R = 0.95; P < .001$).

Notwithstanding limitations, this is the first study, to our knowledge, to document the accessibility of outpatient MH treatment facilities that serve Medicaid clients across communities and to highlight which communities face the greatest risk for reduced access to these crucial resources. Although the expansion of Medicaid will provide an opportunity to increase insurance coverage for vulnerable populations in need of MH services, many communities may face constraints on the Medicaid MH safety-net system to meet the increased demand for services—especially rural communities and communities with large percentages of black and Hispanic residents. Policy makers need to recognize the continued importance of enhancing behavioral health services in primary care safety-net facilities to help meet the increased demand for MH services among states that opt into Medicaid, especially in communities that lack an adequate MH safety-net system.

### ARTICLE INFORMATION

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